

Substitute for form 1449/PTO

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	10/781,805	
Filing Date	February 20, 2004	
First Named Inventor	Rajesh SUBBU, et al.	
Art Unit	3693	
Examiner Name	Jared W. NEWTON	
Attorney Docket Number	52493.000361	

## OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

*Examiner Cite Initials No.	Cite	Include name of the author (in CAPITAL LETTERS), tille of the article (when appropriate), tille of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
	No.		YES	NO
/JN/		Reilly, F.K., et al., Investment Analysis and Portfolio Management, 6th Edition, Dryden, 2000		
/JN/		Fabozzi, F.J., Fixed income analysis for the chartered financial analyst program, Fabozzi Associates, 2000		
/JN/		Indraneel, D., et al., A Closer Look at Drawbacks of Minimizing Weighted Sums of Objectives for Pareto Set Generation in Multicriteria Optimization Problems Structural Optimization, 14(1), pp 63-69, 1997		
/JN/		Schaffer, J.D., et al., Multi-objective Learning via Genetic Algorithms, Ninth International Joint Conference on Artificial Intelligence, pp 593-595, 1985		
/JN/		Subbu, Raj, et al., Modeling and Convergence Analysis of Distributed Coevolutionary Algorithms, IEEE International Congress on Evolutionary Computation, pp 1276-1283, 2000		
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/JN/		(In book "Foundations of Genetic Algorithms") D. Goldberg and K. Deb, A comparison of selection schemes used in genetic algorithms. In "Foundations of Genetic Algorithms," Rawlins, G. (Ed.), 69. M. Kaufmann Publishers, San Mateo, Calif., 69-93, 1991 (select pages from book, including paper Abstract)		
/JN/		Coello, C., et al., MOSES: A multiobjective optimization tool for engineering design, Engineering Optimization, 31:337-368, 1999		
/JN/		Horn, J., Multicriterion Decision Making, Handbook of Evolutionary Computation, (Back T, Fogel DB and Michalewicz Z, eds.), pp F1.9:1-F1.9:15, IOP Publication Ltd and Oxford University Press, 1997		
/JN/		Subbu, Raj, et al., Modeling and Convergence Analysis of Distributed Coevolutionary Algorithms, IEEE Transactions on Systems, Man, and Cybernetics - Part B: Cybernetics, Vol. 34, No. 2, April 2004		

EXAMINER SIGNATURE

/Jared Newton/ (03/21/2008)

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.